



PATENT
THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/937,991
 Applicant : Hirofumi Yura et al.
 Filed : January 23, 2002
 Title : "FUNCTIONALIZED GLYCOSAMINOGLYCAN POLYMER &
 MEDICAL INSTRUMENTS & DRUGS BY USING THE SAME"
 Confirmation No. : 8819
 TC/A.U. : 1623
 Examiner : Ganapathy Krishnan
 Customer No. : 116
 Docket No. : 33944

REQUEST FOR REFUND

Commissioner of Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450
 Attn: Refund Section, Accounting Division
 Office of Finance

Sir:

The above-identified application was filed on January 23, 2002, wherein a check for \$630.00 was included. The check included the fee for filing the application, the Declaration and Power of Attorney fee and a multiple dependent claim fee.

However, on September 8, 2005, applicant's deposit account was erroneously charged a \$270.00 multiple dependent claim fee although it was paid for at the time of filing.

Accordingly, applicant hereby requests a refund in the amount of \$270.00.

Please credit the refund to our Deposit Account No. 16-0820, Order No: 33944.

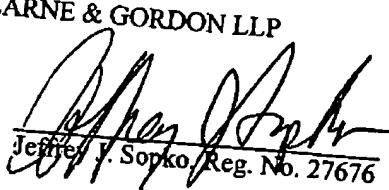
Enclosed are the following in support of the request:

- PTO-1390 indicating the \$270.00 multiple dependent claim fee was paid for at the time of filing
- Copy of check stub dated September 28, 2001

Respectfully submitted,

PEARNE & GORDON LLP

By:


 Jeffrey J. Sopko Reg. No. 27676

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ATTN: Refund Section, Accounting Division Office of Finance on the date indicated below.

1801 East 9th Street
 Suite 1200
 Cleveland, Ohio 44114-3108
 (216) 579-1700
 October 12, 2005

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 33944
FIRM PTO-1390 (REV. 11-2000)		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		
INTERNATIONAL APPLICATION NO. PCT/JP00/02012	INTERNATIONAL FILING DATE 30 March 2000 (30.03.00)	PRIORITY DATE CLAIMED 02 April 1999 (02.04.99)
TITLE OF INVENTION FUNCTIONALIZED GLYCOSAMINOGLYCAN POLYMER AND MEDICAL INSTRUMENTS AND DRUGS BY USING THE SAME		
APPLICANT(S) FOR DO/EO/US YURA, Hirofumi; SAITO, Yoshio; ISHIHARA, Masayuki; ONO, Katsuaki; ISHIKAWA, Keiichi		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: English translation of Amendments Under Article 34 of PCT filed on October 27, 2000 English translation of Amendments Under Article 34 of PCT filed on October 27, 2000</p>		

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U.S. APPLICATION NO (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO PCT/JPO0/02012	ATTORNEY'S DOCKET NUMBER 33944	
CALCULATIONS PTO USE ONLY			
<p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1000.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</p>			
ENTER APPROPRIATE BASIC FEE AMOUNT =			
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	6 - 20 =	0	x \$18.00
Independent claims	1 - 3 =	0	x \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00
TOTAL OF ABOVE CALCULATIONS =			\$ 1,260.00
<p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p>			+ \$ 630.00
SUBTOTAL =			\$ 630.00
<p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>			\$ -----
TOTAL NATIONAL FEE =			\$ 630.00
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</p>			\$ -----
TOTAL FEES ENCLOSED =			\$ 630.00
			Amount to be refunded: \$
			charged: \$

- A check in the amount of \$ 630.00 to cover the above fees is enclosed.
- Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0820. A duplicate copy of this sheet is enclosed. Order no. 33944
- Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

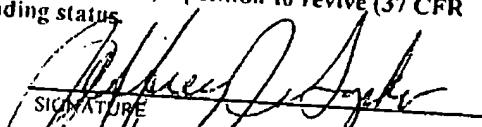
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



00116

PATENT & TRADEMARK OFFICE


 SIGNATURE
 Jeffrey J. Sopko
 NAME
 27676

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PEARNE & GORDON LLP

gross

09/28/2001 S&P. 33944

APPLICATION

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United States Patent and Trademark Office
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Adjustment Date: 11/22/2005 SDIRETA1
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